



Filing ID #10026017

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Lois Frankel  
**Status:** Member  
**State/District:** FL21

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2018  
**Filing Date:** 05/13/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
8400 Plaza Associates [OL]  LOCATION: Ft Lauderdale, FL, US DESCRIPTION: Partnership Income COMMENTS: 2.014400% Ownership		\$50,001 - \$100,000	Rent	\$1,001 - \$2,500	<input type="checkbox"/>
City of West Palm Beach 401(a) Defined Contribution Retirement Plan ⇒ Dodge & Cox Income Fund [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
City of West Palm Beach 401(a) Defined Contribution Retirement Plan ⇒ Templeton Global Bond Adv [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
City of West Palm Beach 457 Deferred Compensation Plan ⇒ The City of WPB Custom Stable Value Fund [OT]  DESCRIPTION: Stable Value Fund		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
International Business Machines Corporation (IBM) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Lois J. Frankel Living Trust ⇒		\$1,001 - \$15,000	Dividends	\$201 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Exxon Mobil Corporation (XOM) [ST]				\$1,000	
Lois J. Frankel Living Trust ⇒ Morgan Stanley Bank N.A. [BA]		\$100,001 - \$250,000	Interest	\$1 - \$200	<input type="checkbox"/>
MetLife-Preference Plus Select L Class Annuity ⇒ BlackRock Bond Income Portfolio (Class B) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MetLife-Preference Plus Select L Class Annuity ⇒ MFS Value Portfolio (Class B) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MetLife-Preference Plus Select L Class Annuity ⇒ MSCI EAFE Index Portfolio [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MetLife-Preference Plus Select L Class Annuity ⇒ Neuberger Berman Genesis Portfolio [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
MetLife-Preference Plus Select L Class Annuity ⇒ Victory Sycamore Mid Cap Value Portfolio [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: On 2017 Annual Disclosure as Invesco Mid Cap Value Portfolio COMMENTS: Name change due to internal fund merger in 2018.					
Morgan Stanley IRA - Xo85 ⇒ E V Adv Floating Rate C [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - Xo85 ⇒ JP Morgan Equity Income C [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - Xo85 ⇒ Microsoft Corporation (MSFT) [ST]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - Xo85 ⇒ Morgan Stanley Bank N.A. [IH]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - Xo85 ⇒ Prudential Jennison Nat Res A [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - Xo85 ⇒ Royce Value Trust, Inc. (RVT) [ST]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - Xo85 ⇒ Virtus Vontobel Emrg Mkts C [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - Xo85 ⇒ Voya Financial ⇒ Voya International Index Portf [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley IRA - Xo85 ⇒ Voya Financial ⇒ Vy Morgan Stanley Global Franc [MF]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - Xo85 ⇒ Voya Financial ⇒ Vy T. Rowe Price Capital Appre [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - Xo85 ⇒ Voya Financial ⇒ Vy Templeton Foreign Equity Po [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X140 ⇒ Commonwealth Allmerica Select Resources II ⇒ GA Blackrock Disciplined Mid C [MF]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X140 ⇒ Commonwealth Allmerica Select Resources II ⇒ GA Goldman Sachs Mid Cap Value [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X140 ⇒ Commonwealth Allmerica Select Resources II ⇒ GS VIT Money Market [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X140 ⇒ Commonwealth Allmerica Select Resources II ⇒ MFS VIT Utilities Fund [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Accenture plc. Class A Ordinary Shares (ACN) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Altria Group, Inc. (MO) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Amdocs Limited - Ordinary Shares (DOX) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Aon plc Class A Ordinary Shares (AON) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Cigna Corporation (CI) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Citigroup, Inc. (C) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Comcast Corporation - Class A (CMCSA) [ST]					
Morgan Stanley IRA - X141 ⇒ D/B/A Chubb Limited New (CB) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Danaher Corporation (DHR) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Duke Energy Corporation (DUK) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ DXC Technology Company (DXC) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Eaton Corporation, PLC Ordinary Shares (ETN) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ EOG Resources, Inc. (EOG) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Fidelity National Information Services, Inc. (FIS) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Fiserv, Inc. (FISV) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ General Mills, Inc. (GIS) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Goldman Sachs Group, Inc. (GS) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Honeywell International Inc. (HON) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Illinois Tool Works Inc. (ITW) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Ingersoll-Rand plc (IR) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Johnson & Johnson (JNJ) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley IRA - X141 ⇒ Johnson Controls, Inc. (JCI) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Kimberly-Clark Corporation (KMB) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Lear Corporation (LEA) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Lockheed Martin Corporation (LMT) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ McKesson Corporation (MCK) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ MetLife, Inc. (MET) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Moody's Corporation (MCO) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Morgan Stanley Private Bank NA# [IH]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Nasdaq, Inc. (NDAQ) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Nestle SA Sponsored ADR representing Registered Shares Series B (NSRGY) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Northrop Grumman Corporation (NOC) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Pfizer, Inc. (PFE) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Philip Morris International Inc (PM) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley IRA - X141 ⇒ PNC Financial Services Group, Inc. (PNC) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ PPG Industries, Inc. (PPG) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Roche Holdings AG Basel American Depositary Shares (RHHBY) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Schlumberger N.V. (SLB) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ State Street Corporation (STT) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Texas Instruments Incorporated (TXN) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ The Travelers Companies, Inc. (TRV) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Thermo Fisher Scientific Inc (TMO) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ U.S. Bancorp (USB) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Union Pacific Corporation (UNP) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Wells Fargo & Company (WFC) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Xcel Energy Inc. (XEL) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Alleghany Corporation (Y) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Amdocs Limited - Ordinary Shares (DOX) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Analog Devices, Inc. (ADI) [ST]					
Morgan Stanley IRA - X142 ⇒ Avery Dennison Corporation (AVY) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Baxter International Inc. (BAX) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Booz Allen Hamilton Holding Corporation (BAH) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Boston Scientific Corporation (BSX) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Broadridge Financial Solutions, Inc. (BR) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ BWX Technologies, Inc. (BWXT) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Cabot Oil & Gas Corporation (COG) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Caseys General Stores, Inc. (CASY) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ CDK Global, Inc. (CDK) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Cinemark Holdings Inc Cinemark Holdings, Inc. (CNK) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Clorox Company (CLX) [ST]		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Conduent Incorporated (CNDT) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ DENTSPLY SIRONA Inc. (XRAY) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Dollar General Corporation (DG) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley IRA - X142 ⇒ Dorman Products, Inc. (DORM) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Entergy Corporation (ETR) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ EPAM Systems, Inc. (EPAM) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Esterline Technologies Corporation (ESL) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Expeditors International of Washington, Inc. (EXPD) [ST]		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ First American Corporation (FAF) [ST]		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Franklin Resources, Inc. (BEN) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Genuine Parts Company (GPC) [ST]		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Hasbro, Inc. (HAS) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Helen of Troy Limited (HELE) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Hess Corporation (HES) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Hexcel Corporation (HXL) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Huntington Bancshares Incorporated (HBAN) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Huntsman Corporation (HUN) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Liberty Broadband Corporation - Class C (LBRDK) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>



Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley IRA - X142 ⇒ Liberty Media Corporation - Series C Liberty SiriusXM (LSXMK) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ M&T Bank Corporation (MTB) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Markel Corporation (MKL) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ McKesson Corporation (MCK) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Morgan Stanley Private Bank NA# [IH]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ National Fuel Gas Company (NFG) [ST]		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ NiSource Inc (NI) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ NuVasive, Inc. (NUVA) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Pioneer Natural Resources Company (PXD) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ PPL Corporation (PPL) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Sabra Health Care REIT, Inc. (SBRA) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Sonoco Products Company (SON) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ STORE Capital Corporation (STOR) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Sun Communities, Inc. (SUI) [ST]		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ SunTrust Banks, Inc. (STI) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley IRA - X142 ⇒ Sysco Corporation (SYN) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ The Hershey Company (HSY) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Torchmark Corporation (TMK) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Ubiquiti Networks, Inc. (UBNT) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Ulta Beauty, Inc. (ULTA) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ United Bankshares, Inc. (UBSI) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Welltower Inc. (HCN) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Western Union Company (WU) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Woodward, Inc. (WWD) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Xcel Energy Inc. (XEL) [ST]		\$1,001 - \$15,000	Tax-Deferred	None	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Zimmer Biomet Holdings, Inc. (ZBH) [ST]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Allianceber High Income Advisor [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ American Century Mid Cap Value Inv [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ AMG Yachtman Focused I [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Cohen & Steers Preferred Sec & Inc I [MF]					
Morgan Stanley IRA - X529 ⇒ Fidelity Adv New Insights I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ First Eagle Global I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ First Trust DJ Internet IDX [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Guggenheim Macro Opportunities Instl [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Hartford Intl Value I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Invesco Balanced-Risk Alloc Y [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Invesco Emerging Markets Sovereign Debt Portfolio [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: On 2017 Annual Disclosure as PowerShares Emerging Markets Sovereign Debt Portfolio. COMMENTS: In 2018 Invesco dropped the brand name of multiple funds post acquisition. EFTs traded under the following names will now be traded under the Invesco name: Erpetual, Source, Trimark, PowerShares and Guggenheim.					
Morgan Stanley IRA - X529 ⇒ Invesco Preferred ETF [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: On 2017 Annual Disclosure as PowerShares Preferred. COMMENTS: In 2018 Invesco dropped the brand name of multiple funds post acquisition. EFTs traded under the following names will now be traded under the Invesco name: Erpetual, Source, Trimark, PowerShares and Guggenheim.					
Morgan Stanley IRA - X529 ⇒ Invesco QQQ Trust [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Invesco Russell Top 200 Pure Growth [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Invesco S&P 500 Eq Weight [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: On 2017 Annual Disclosure as Guggenheim S&P 500 Eq Weight. COMMENTS: In 2018 Invesco dropped the brand name of multiple funds post acquisition. ETFs traded under the following names will now be traded under the Invesco name: Erpetual, Source, Trimark, PowerShares and Guggenheim.					
Morgan Stanley IRA - X529 ⇒		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Invesco S&P 500 Equal Weight Energy [EF]					
COMMENTS: Purchased in a transaction under \$1,000.					
Morgan Stanley IRA - X529 ⇒ iShares Russell 1000 Growth [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ iShares Russell 2000 Growth [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ iShares Russell 2000 Value [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ iShares Russell Mid-Cap Growth [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
COMMENTS: Purchased in a transaction under \$1,000.					
Morgan Stanley IRA - X529 ⇒ iShares S&P Small-Cap 600 Growth [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ JP Morgan Mid Cap Value S [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Lazard Glb Lstd Infr Ptf Inst [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Matthews Asia Dividend Inv [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ MFS International Value I [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Mirae Asia Fund I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Morgan Stanley Bank N.A. [IH]		\$1 - \$1,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Oakmark Fund Advisor [MF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Oppenheimer SteelPath MLP Select 40 Y [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ PIMCO Foreign Bond (Hedged) [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Morgan Stanley IRA - X529 ⇒ Principal Midcap Instl [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Principal Sm MDCP Div Inc I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Prudential Jennison Health Sciences Z [MF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ SPDR Dow Jones Global Real Estate [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ SPDR Dow Jones Industrial Average [EF]		\$1,001 - \$15,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ SPDR Gold Trust [EF]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ T Rowe Price Cap Apprec Fd [MF]		\$100,001 - \$250,000	Tax-Deferred		<input checked="" type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ T Rowe Price Intl Discovery [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ T Rowe Price Mid Cap Gr [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ T Rowe Price QM US Small-Cap Gr Eq [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Vanguard Small-Cap Value ETF [EF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Morgan Stanley IRA - X529 ⇒ Virtus Kar Small-Cap Growth I [MF]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Prudential Financial, Inc. (PRU) [ST]		\$1,001 - \$15,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley IRA - Xo85 ⇒ JPMorgan Equity Income Fund Class C [MF]		12/13/2018	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend Reinvestment					
Morgan Stanley IRA - X140 ⇒ Commonwealth Allmerica Select Resources II ⇒ GA Blackrock Disciplined Mid C [MF]		03/15/2018	P	\$15,001 - \$50,000	
Morgan Stanley IRA - X140 ⇒ Commonwealth Allmerica Select Resources II ⇒ Janus Henderson Enterprises Port [MF]		03/15/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Accenture plc Class A Ordinary Shares (ACN) [ST]		09/12/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Altria Group, Inc. (MO) [ST]		02/7/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Altria Group, Inc. (MO) [ST]		01/31/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Altria Group, Inc. (MO) [ST]		01/24/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Aptiv PLC Ordinary Shares (APTV) [ST]		01/10/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Aptiv PLC Ordinary Shares (APTV) [ST]		06/27/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Aptiv PLC Ordinary Shares (APTV) [ST]		08/15/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Aptiv PLC Ordinary Shares (APTV) [ST]		08/1/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Archer-Daniels-Midland Company (ADM) [ST]		09/5/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Archer-Daniels-Midland Company (ADM) [ST]		10/24/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Archer-Daniels-Midland Company (ADM) [ST]		10/17/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley IRA - X141 ⇒ Chubb Limited (CB) [ST]		04/11/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Cigna Corporation (CI) [ST]		03/15/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Cigna Corporation (CI) [ST]		10/31/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Comcast Corporation - Class A (CMCSA) [ST]		02/28/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Comcast Corporation - Class A (CMCSA) [ST]		05/9/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Coty Inc. Class A (COTY) [ST]		02/1/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Coty Inc. Class A (COTY) [ST]		01/26/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Duke Energy Corporation (DUK) [ST]		07/18/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Eaton Corporation, PLC Ordinary Shares (ETN) [ST]		01/3/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ EOG Resources, Inc. (EOG) [ST]		05/2/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Equifax, Inc. (EFX) [ST]		02/14/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Equifax, Inc. (EFX) [ST]		03/21/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Express Scripts Holding Company (ESRX) [ST]		01/10/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Express Scripts Holding Company (ESRX) [ST]		10/3/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒		11/14/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Fiserv, Inc. (FISV) [ST]					
Morgan Stanley IRA - X141 ⇒ Goldman Sachs Group, Inc. (GS) [ST]		08/22/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Honeywell International Inc. (HON) [ST]		08/29/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Illinois Tool Works Inc. (ITW) [ST]		08/15/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Ingersoll-Rand plc (IR) [ST]		06/6/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Ingersoll-Rand plc (IR) [ST]		08/8/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Johnson & Johnson (JNJ) [ST]		05/30/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Johnson & Johnson (JNJ) [ST]		12/12/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Johnson Controls International plc Ordinary Share (JCI) [ST]		09/5/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ JP Morgan Chase & Co. (JPM) [ST]		02/7/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ JP Morgan Chase & Co. (JPM) [ST]		08/8/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ JP Morgan Chase & Co. (JPM) [ST]		11/14/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Kimberly-Clark Corporation (KMB) [ST]		02/14/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Kimberly-Clark Corporation (KMB) [ST]		03/21/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Kimberly-Clark Corporation (KMB) [ST]		04/19/2018	P	\$1,001 - \$15,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley IRA - X141 ⇒ Lear Corporation (LEA) [ST]		11/6/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Lear Corporation (LEA) [ST]		10/24/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Lockheed Martin Corporation (LMT) [ST]		04/5/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Lockheed Martin Corporation (LMT) [ST]		05/23/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Medtronic plc. Ordinary Shares (MDT) [ST]		05/30/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ MetLife, Inc. (MET) [ST]		12/6/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Moody's Corporation (MCO) [ST]		10/17/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Occidental Petroleum Corporation (OXY) [ST]		04/5/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Pepsico, Inc. (PEP) [ST]		05/9/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Pepsico, Inc. (PEP) [ST]		04/18/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Philip Morris International Inc (PM) [ST]		05/9/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ PNC Financial Services Group, Inc. (PNC) [ST]		02/28/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ PNC Financial Services Group, Inc. (PNC) [ST]		10/3/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Roche Holdings AG Basel American Depositary Shares (RHHBY) [ST]		12/12/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley IRA - X141 ⇒ Roche Holdings AG Basel American Depositary Shares (RHHBY) [ST]		12/6/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Schlumberger N.V. (SLB) [ST]		01/24/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ State Street Corporation (STT) [ST]		06/27/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ State Street Corporation (STT) [ST]		08/1/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ State Street Corporation (STT) [ST]		10/31/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Texas Instruments Incorporated (TXN) [ST]		09/12/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Thermo Fisher Scientific Inc (TMO) [ST]		11/28/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Union Pacific Corporation (UNP) [ST]		03/15/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ Union Pacific Corporation (UNP) [ST]		11/6/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ United Technologies Corporation (UTX) [ST]		01/3/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ United Technologies Corporation (UTX) [ST]		06/13/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ United Technologies Corporation (UTX) [ST]		07/18/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ United Technologies Corporation (UTX) [ST]		08/29/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒ United Technologies Corporation (UTX) [ST]		08/22/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X141 ⇒		01/24/2018	P	\$1,001 - \$15,000	


Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Wells Fargo & Company (WFC) [ST]					
Morgan Stanley IRA - X141 ⇒ Wells Fargo & Company (WFC) [ST]		03/28/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X141 ⇒ Xcel Energy Inc. (XEL) [ST]		11/28/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Alleghany Corporation (Y) [ST]		02/6/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Anadarko Petroleum Corporation (APC) [ST]		03/8/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Apache Corporation (APA) [ST]		01/5/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Apache Corporation (APA) [ST]		01/4/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Apache Corporation (APA) [ST]		08/15/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Apache Corporation (APA) [ST]		12/26/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Arconic Inc. (ARNC) [ST]		05/3/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Baxter International Inc. (BAX) [ST]		07/18/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Booz Allen Hamilton Holding Corporation (BAH) [ST]		03/15/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Booz Allen Hamilton Holding Corporation (BAH) [ST]		03/14/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Booz Allen Hamilton Holding Corporation (BAH) [ST]		06/15/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Broadridge Financial Solutions, Inc. (BR) [ST]		03/21/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley IRA - X142 ⇒ Broadridge Financial Solutions, Inc. (BR) [ST]		07/18/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Broadridge Financial Solutions, Inc. (BR) [ST]		10/11/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ CDK Global, Inc. (CDK) [ST]		07/3/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ CDK Global, Inc. (CDK) [ST]		07/2/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ CDK Global, Inc. (CDK) [ST]		10/18/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Cinemark Holdings Inc Cinemark Holdings, Inc. (CNK) [ST]		01/4/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Cinemark Holdings Inc Cinemark Holdings, Inc. (CNK) [ST]		03/2/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Conduent Incorporated (CNDT) [ST]		02/7/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Conduent Incorporated (CNDT) [ST]		01/16/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Conduent Incorporated (CNDT) [ST]		06/15/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ CoreCivic, Inc. (CXW) [ST]		07/16/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ DENTSPLY SIRONA Inc. (XRAY) [ST]		05/15/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Dorman Products, Inc. (DORM) [ST]		02/13/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Globus Medical, Inc. Class A (GMED) [ST]		04/10/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley IRA - X142 ⇒ Globus Medical, Inc. Class A (GMED) [ST]		06/19/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Globus Medical, Inc. Class A (GMED) [ST]		06/18/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Haemonetics Corporation (HAE) [ST]		06/14/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Haemonetics Corporation (HAE) [ST]		06/7/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Haemonetics Corporation (HAE) [ST]		08/7/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Hartford Financial Services Group, Inc. (HIG) [ST]		03/14/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Hartford Financial Services Group, Inc. (HIG) [ST]		03/13/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Hess Corporation (HES) [ST]		04/10/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Hess Corporation (HES) [ST]		09/13/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Hexcel Corporation (HXL) [ST]		12/10/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Huntsman Corporation (HUN) [ST]		12/20/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Invesco Ltd (IVZ) [ST]		12/11/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Liberty Media Corporation - Series C Liberty SiriusXM (LSXMK) [ST]		10/10/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ McKesson Corporation (MCK) [ST]		12/24/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒		06/15/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
New York Community Bancorp, Inc. (NYCB) [ST]					
Morgan Stanley IRA - X142 ⇒ NuVasive, Inc. (NUVA) [ST]		04/4/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ NuVasive, Inc. (NUVA) [ST]		05/3/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ NuVasive, Inc. (NUVA) [ST]		06/15/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Omnicom Group Inc. (OMC) [ST]		07/23/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Orbital ATK, Inc. (OA) [ST]		01/19/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Orbital ATK, Inc. (OA) [ST]		01/18/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Pioneer Natural Resources Company (PXD) [ST]		11/19/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Regal Entertainment Group Class A (RGC) [ST]		01/9/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Regal Entertainment Group Class A (RGC) [ST]		01/8/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Rockwell Collins, Inc. (COL) [ST]		01/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ STORE Capital Corporation (STOR) [ST]		02/7/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ STORE Capital Corporation (STOR) [ST]		03/2/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ STORE Capital Corporation (STOR) [ST]		05/7/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Sysco Corporation (SYY) [ST]		07/18/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Morgan Stanley IRA - X142 ⇒ Sysco Corporation (SYN) [ST]		09/13/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ The Hain Celestial Group, Inc. (HAIN) [ST]		11/9/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Morgan Stanley IRA - X142 ⇒ Torchmark Corporation (TMK) [ST]		10/18/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Torchmark Corporation (TMK) [ST]		10/17/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Torchmark Corporation (TMK) [ST]		10/16/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Torchmark Corporation (TMK) [ST]		11/20/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Ulta Beauty, Inc. (ULTA) [ST]		03/8/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Ulta Beauty, Inc. (ULTA) [ST]		05/16/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ United Bankshares, Inc. (UBSI) [ST]		02/13/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ United Bankshares, Inc. (UBSI) [ST]		02/12/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X142 ⇒ Woodward, Inc. (WWD) [ST]		10/19/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ Fidelity Adv New Insights I [MF]		12/10/2018	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend Reinvestment					
Morgan Stanley IRA - X529 ⇒ Invesco QQQ Trust [EF]		07/20/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ Invesco Russell Top 200 Pure Growth [EF]		09/20/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒		07/20/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
iShares Russell 1000 Growth [EF]					
Morgan Stanley IRA - X529 ⇒ iShares Russell 2000 Growth [EF]		07/20/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ iShares Russell 2000 Value [EF]		07/20/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ MFS International Value I [MF]		12/14/2018	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend Reinvestment					
Morgan Stanley IRA - X529 ⇒ MFS International Value I [MF]		12/14/2018	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend Reinvestment					
Morgan Stanley IRA - X529 ⇒ Oakmark Fund Advisor [MF]		05/4/2018	P	\$1,001 - \$15,000	
COMMENTS: Oakmark I class exchange to Oakmark Fund Advisor					
Morgan Stanley IRA - X529 ⇒ Oakmark I [MF]		05/4/2018	S	\$1,001 - \$15,000	
COMMENTS: Oakmark I class exchange to Oakmark Fund Advisor					
Morgan Stanley IRA - X529 ⇒ SPDR Dow Jones Industrial Average [EF]		07/20/2018	P	\$1,001 - \$15,000	
Morgan Stanley IRA - X529 ⇒ T Rowe Price Cap Apprec Fd [MF]		12/14/2018	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend Reinvestment					
Morgan Stanley IRA - X529 ⇒ T Rowe Price Cap Apprec Fd [MF]		12/14/2018	P	\$1,001 - \$15,000	
DESCRIPTION: Dividend Reinvestment					

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount
Great-West Retirement Services	Retirement Plan Distribution	\$8,149.68
COMMENTS: City of West Palm Beach Retirement Plan		



Source	Type	Amount
Morgan Stanley	IRA Distribution	\$65,261.49

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	PHH Mortgage Service	September 2014	Mortgage on 403 S Sapodilla Ave unit 213, West Palm Beach, FL 33401	\$250,001 - \$500,000
COMMENTS: Creditor for this mortgage on the 2017 Annual Disclosure was listed as Morgan Stanley Home Loans. PHH Mortgage Service is a third party provider for Morgan Stanley.				
	BB&T	June 2014	Mortgage on 1000 New Jersey Ave SE Apt 224, Washington DC 20003	\$100,001 - \$250,000
	Capitol Hill Tower Housing Cooperation	December 2015	Share of co-op mortgage on 1000 New Jersey Ave SE Apt 224, Washington DC 20003	\$100,001 - \$250,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
Partner	8400 Plaza Associates

## SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
2002	State of Florida and filer	Pension annuity for service in state legislature
COMMENTS: Vested in 2002		
March 2011	City of West Palm Beach and filer	Retiree Life Insurance, Retiree VEBA, Retiree Health Insurance-not exercised.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- City of West Palm Beach 401(a) Defined Contribution Retirement Plan
- City of West Palm Beach 457 Deferred Compensation Plan
- Lois J. Frankel Living Trust
- MetLife-Preference Plus Select L Class Annuity
- Morgan Stanley IRA - X085
- Morgan Stanley IRA - X085 ⇒ Voya Financial  
COMMENTS: ING Opportunities changed it's name to Voya Financial in 2014
- Morgan Stanley IRA - X140
- Morgan Stanley IRA - X140 ⇒ Commonwealth Allmerica Select Resources II
- Morgan Stanley IRA - X141  
DESCRIPTION: Managed by MFS Investment MGMT
- Morgan Stanley IRA - X142  
DESCRIPTION: Managed by Anchor Capital Advisors LLC
- Morgan Stanley IRA - X529

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## COMMENTS

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Lois Frankel , 05/13/2019